

# 6.3.5 Institution has Performance Appraisal System for teaching and Non-teaching staff

| S.No | Documents for implementation             |
|------|------------------------------------------|
| 1.   | Old Appraisal form (Version 2013-2017)   |
| 2.   | Appraisal Form-(Version 2017-till date)  |
| 3.   | Performance Evaluation form-Non-Teaching |



# **GUIDELINES**

# ON

# "PERFORMANCE APPRAISAL SCORING SYSTEM (PASS) FOR MEMBERS OF FACULTY"

# **CONTENTS**

| No.  | Title                                               | Page  |
|------|-----------------------------------------------------|-------|
| I.   | Objective                                           | 1     |
| II.  | Period of Assessment and Performance<br>Index       | 1     |
| III. | Performance Appraisal Scoring System<br>Report      | 2     |
| IV.  | Components of Assessment and Performance<br>Indices | 2 - 6 |
| V.   | Computation of Faculty Performance Index            | 6 -10 |
| VI.  | Implementation of the System                        | 10    |
| VII. | Conclusion                                          | 10    |

#### **GUIDELINES**

#### ON

# "PERFORMANCE APPRAISAL SCORING SYSTEM (PASS) FOR MEMBERS OF FACULTY

#### I. OBJECTIVE

It is proposed to introduce a transparent and objective **P**erformance **A**ppraisal **S**coring **S**ystem (**PASS**) for members of faculty based on the guidelines issued by the UGC and AICTE, starting from the academic year 2009-10. The objective of this scheme is to motivate each member of faculty to perform better and better in delivering quality education and research in B.S. Abdur Rahman University. The results of this assessment shall be used for the following purposes:

- (i) Award of annual increment in the pay scale
- (ii) Award of special increments and rewards in recognition of superior performance.
- (iii) Award of career advancement / promotion
- (iv) Monitoring and recording the regular growth of each member of faculty for ready reference.

#### II. PERIOD OF ASSESSMENT AND PERFORMANCE INDEX

Assessment shall be carried out every academic year after completion of the academic year ending 30<sup>th</sup> June. The overall performance of a teacher during an academic year is reflected through a single index termed as "Faculty Performance Index (FPI)" which is based on a 5-point grade system as given below:

| <u>Grade</u> | <b>Grade Description</b> | <b>Grade Point</b> |
|--------------|--------------------------|--------------------|
| Α            | Excellent                | 5                  |
| В            | Very Good                | 4                  |
| С            | Good                     | 3                  |
| D            | Fair                     | 2                  |
| Е            | Below Average            | 1                  |
| _            | Delow Average            | _                  |

#### III. PERFORMANCE APPRAISAL SCORING SYSTEM REPORT

To facilitate performance assessment, a "Performance Appraisal Scoring System (PASS) Report" has been specially designed (copy enclosed). The report comprises two parts. Part A is "Self Appraisal" to be filled up by the faculty member. Part B is "Remarks of the Reporting Officer" to be filled up by the respective H.O.D.

#### IV. COMPONENTS OF ASSESSMENT AND PERFORMANCE INDICES

The job responsibilities of a faculty member can be broadly categorized into four components (vide AICTE guidelines) which are given below along with their performance Index :

| No. | Catgegory                 | Performance Index |
|-----|---------------------------|-------------------|
| 1   | Academic Activities       | I <sub>1.0</sub>  |
| 2   | Research Activities       | I <sub>2.0</sub>  |
| 3   | Extension Activities      | I <sub>3.0</sub>  |
| 4   | Administrative Activities | I <sub>4.0</sub>  |

The FPI introduced in Section II is nothing but a weighted average of the Performance Indices of the above components. The details of these components and their sub components along with the respective Performance Indices are given below. The computation of FPI is explained in Section V.

# 1.0 Academic Activities (I<sub>1.0</sub>)

# 1.1 Teaching $(I_{1.1})$

- 1.1.1. Semester results of Theory Courses taught (I<sub>1.1.1</sub>)
  1.1.2. Student Feed back in Theory courses taught (I<sub>1.1.2</sub>)
  1.1.3. Effective Utilization of allotted periods in Theory Courses taught (I<sub>1.1.3</sub>)
- 1.1.4. Performance in Academic Audit  $(I_{1.1.4})$

#### 1.2 Related Development Activities (I<sub>1.2</sub>)

(i) Curriculum Development

> Contributed to updating of syllabus in the course taught (useful comments for syllabus revision sent to Dean-Academic courses)

- Contributed to major curriculum revision in the University as

\* Chair person of the Committee : 5 points \* Member of the Committee : 2 points

: 2 Points

Member of Board of Study / Academic Council of the University

: 2 points

(ii) Development of Learning Resource Material

> - Model developed for instruction : 3 points - CD prepared for course taught : 3 points

Publication of a Book by International Publishers (iii)

> - for each book - sole author : 20 points - for each book - joint author : 10 points

Publication of a Book by National Publishers with (iv) ISBN / ISSN Number

> - for each book – sole author : 10 points - for each book - joint author 5 points

(v) Publication of Laboratory Manual 4 points

(vi) Developed a new Teaching Methodology using

Web based learning : 3 points

(vii) Industrial visit organized; per visit : 1 point

(viii) Developed a new laboratory

- As a Leader :10 points : 2 points - As a member

Continuing Education Activities (ix)

> - Lecture delivered in Summer / Winter School or in Intensive course organized by Institutions / Industry or in Faculty Development Programme;

per programme : 4 points

Participated (Attended): Summer / Winter (x) School or Intensive course or Faculty Development Programme or Conference / Symposium / Seminar Workshop; per programme

: 2 points

#### 2.0 Research Activities $(I_{2,0})$

(i) Academic Research

> - Completed Ph.D. program and obtained Degree : 20 points

- Project Guidance : for each P.G. student (M.Tech / M.Sc.) who was awarded degree

2 points

- Research guidance : for each candidate

awarded degree

Ph.D. : 10 points M.Phil 3 points

M.S. 3 points

(ii) Sponsored Research

> Preparation and submission of Project Proposal to Funding Agency; for each

proposal submitted 2 points

 Sponsored Project carried out/on going through the institution;

for each Major Project Rs 5 lakh or above

: 10 points

for each Minor Project

5 points

- Completed Projects: Quality Evaluation; Completed Project Report (Acceptance report "Satisfactory" from funding agencies to be produced)

for each major project : 20 points for each minor project : 10 points

Project outcome / output ;

Patent / Technology Transfer / Product / Process; for each output or outcome

: 25 points

Research Publication (iii)

- Refereed Journals with impact factor

1.0 or above; for each publication

: 10 points

- Refereed Journals with impact factor

less than 1.0; for each publication

5 points

- National level research paper in non-refereed Journal

but having ISBN / ISSN number; for each paper

3 points

- For each full paper in Conference Proceedings

2 points

- Research monograph published by International

Publishers;

for sole author book : 20 points for edited chapter book : 10 points

- Research monograph published by National

Publishers with ISBN / ISSN Number;

for sole author book : 10 points for edited chapter book 5 points

### 3.0. Extension Activities (I<sub>3.0</sub>)

Consultancy Project carried out / on going; (i) Amount mobilized for every Rs 1 lakh : 4 points

(ii) Providing Routine and Developmental Testing Service as per Indian / International Standards; Amount mobilized for every Rs 1 lakh : 2 points

Organizing short term Intensive Courses / Summer or Winter (iii) School on topics of relevance in thrust area / emerging area to practicing engineers or Engineering College Teachers; for each programme organized 5 points

Organizing Seminar / Symposium / Workshop (iv) either self-supported or funded by outside agencies on topics of relevance to industries; for each programme organized

: 2 points

#### 4. **Administrative Activities (I4.0)**

- (i) At Department level:
  - Student Counsellor
  - Class Advisor
  - Lab.-in-charge for planning, development and maintenance
  - Coordinator, Professional Society
  - Coordinator, R & D activities
  - Other similar activity

For each activity : 5 points

- (ii) At the Institution level, State / National / International level
  - Institutional governance responsibilities
  - Membership in Board of Studies, Academic Council etc.
  - Nomination in Higher Education related committees
  - Participation in policy planning for development of Technical education, industries and Research Institution.
  - Other similar activity

For each activity; at Institute level

: 10 points

at State / Regional / National / International level : 20 points

#### V. COMPUTATION OF FACULTY PERFORMANCE INDEX

The FPI is computed using the Performance Indices (PI) of the four components and their weights. The weights to be used for different category of teachers are given in Table 1.

**TABLE 1: WEIGHTS FOR PERFORMANCE INDICES** 

| Activity I    | ctivity Performance Weight<br>Index | Weights            |       |                 |               |
|---------------|-------------------------------------|--------------------|-------|-----------------|---------------|
|               | IIIdex                              |                    | Prof. | Assoc.<br>Prof. | Asst<br>Prof. |
| Academic      | ${ m I}_{1.0}$                      | W <sub>1.0</sub> = | 0.30  | 0.45            | 0.55          |
| Research      | $I_{2.0}$                           | $W_{2.0} =$        | 0.30  | 0.25            | 0.20          |
| Extension     | I <sub>3.0</sub>                    | $W_{3.0} =$        | 0.20  | 0.15            | 0.15          |
| Administratio | n I <sub>4.0</sub>                  | $W_{4.0} =$        | 0.20  | 0.15            | 0.10          |
|               |                                     | Total =            | 1.00  | 1.00            | 1.00          |

The FPI is computed using the following formula in which the weights corresponding to the designation of the teacher should be used.

$$FPI = (W_{1.0} * I_{1.0}) + (W_{2.0} * I_{2.0}) + (W_{3.0} * I_{3.0}) + (W_{4.0} * I_{4.0})$$

The details of computing the indices of the components,  $I_{1.0}$ ,  $I_{2.0}$ ,  $I_{3.0}$  and  $I_{4.0}$  are given below.

# 1.0 Computing Index for Academic Activities, I<sub>1.0</sub>

| <u>No.</u> | <u>Subcomponents</u>             | <u>PI</u> | <u>Weight</u>    |
|------------|----------------------------------|-----------|------------------|
| 1.1        | Teaching                         | $I_{1.1}$ | $W_{1.1} = 0.70$ |
| 1.2        | Related Developmental Activities | $I_{1.2}$ | $W_{1.2} = 0.30$ |

$$I_{1.0} = (0.70 * I_{1.1}) + (0.30 * I_{1.2})$$

# 1.1 Teaching $(I_{1.1})$

| No. Subcomponents                                                                | <u>PI</u>          | <u>Weight</u>     |  |  |  |
|----------------------------------------------------------------------------------|--------------------|-------------------|--|--|--|
| 1.1.1. Semester results of Theory Courses taught                                 | I <sub>1.1.1</sub> | $W_{1.1.1} = 0.3$ |  |  |  |
| 1.1.2. Student Feed back in Theory courses taught                                |                    |                   |  |  |  |
| 1.1.3. Effective Utilization of allotted periods                                 | I <sub>1.1.3</sub> | $W_{1.1.3} = 0.1$ |  |  |  |
| 1.1.4. Performance in Academic Audit                                             | I <sub>1.1.4</sub> | $W_{1.1.4} = 0.3$ |  |  |  |
| $I_{11} = (0.3 * I_{111}) + (0.3 * I_{112}) + (0.1 * I_{112}) + (0.3 * I_{114})$ |                    |                   |  |  |  |

# 1.1.1 Computing Index for Semester Results of Theory Courses Taught, I<sub>1.1.1</sub>

Step 1: Convert the Percentage Pass (PP) of students registered in the theory course into 5 point scale grade as given below.

| Range of PP, % | <u>Grade</u> | <b>Grade Point</b> |
|----------------|--------------|--------------------|
| > 95           | Α            | 5.0                |
| 91 – 95        | В            | 4.0                |
| 86 - 90        | С            | 3.0                |
| 81 - 85        | D            | 2.0                |
| < - 80         | Е            | 1.0                |

Step 2: After computing the grade points for all the theory courses taught during the year under review, compute the average grade point and set the Index I<sub>1.1.1</sub> equal to the average grade point.

### 1.1.2 Computing Index for Student Feed back, I<sub>1.1.2</sub>

- Step 1: Enter the grade point (5 point scale) obtained by the Teacher in the Student Feedback Report in each theory course taught and compute the average grade point.
- Step 2: Set the Index  $I_{1.1.2}$  equal to the average grade point.

# 1.1.3 Computing Index for effective utilization of allotted periods in Theory courses taught, I<sub>1.1.3</sub>.

Step 1 : For each theory course taught, compute the Percentage of Theory Periods Taught (PTPT).

Step 2: Convert the PTPT into grade as given below:

| Range of PTPT, % | <u>Grade</u> | <b>Grade Point</b> |
|------------------|--------------|--------------------|
| > 100            | Α            | 5                  |
| 97 - 99          | В            | 4                  |
| 94 - 96          | С            | 3                  |
| 91 - 93          | D            | 2                  |
| < 90             | Е            | 1                  |

Step 3 : After computing the grade points for all the theory courses taught during the year under review, compute the average grade point and set the index  $I_{1.1.3}$  equal to the average grade point.

# 1.1.4. Computing Index for performance in Academic Audit, $I_{1.1.4}$

- Step 1: Enter the grade point (5 point scale) obtained in the Academic Audit for each of the theory courses taught by the teacher and compute the Average Grade Point.
- Step 2 : Set the Index  $I_{1.1.4}$  equal to the Average Grade Point.

# 1.2 Computing Index for Related Development Activities, I<sub>1.2</sub>

- Step 1: Enter the points obtained for the contributions made in each one of the activities. (i) to (x) given under "Related Development Activities" in Section IV. Compute the total points obtained.
- Step 2: Convert the total points obtained into grade as given in Table 2 and set the Index  $\mathbf{I_{1.2}}$  equal to the grade point

**TABLE 2: CONVERSION OF TOTAL POINTS TO GRADE** 

| <b>Total Points obtained</b> | <u>Grade</u> | <b>Grade Point</b> |
|------------------------------|--------------|--------------------|
| > 50                         | Α            | 5                  |
| 40 – 49                      | В            | 4                  |
| 20 - 39                      | С            | 3                  |
| 10 - 19                      | D            | 2                  |
| Upto 9                       | Е            | 1                  |

### 2.0 Computing Index for Research Activities, I<sub>2.0</sub>

- Step 1: Enter the points obtained for the contributions made in each one of the activities (i) to (iii) given under "Research Activities" in Section IV. Compute the total points obtained.
- Step 2: Convert the total points obtained into grade as given in Table 2 and set the Index  $\mathbf{I}_{2.0}$  equal to the grade point

# 3.0 Computing Index for Extension Activities, I<sub>3.0</sub>

- Step 1: Enter the points obtained for contribution made in each one of the activities (i) to (iv) given under "Extension Activities" in Section IV. Compute the total points obtained.
- Step 2 : Convert the total points obtained into grade as given in Table 2 and set the Index  $\mathbf{I}_{3.0}$  equal to the grade point

### 4.0 Computing Index for Administrative Activities, I<sub>4.0</sub>

Step 1: Enter the points obtained for contributions made in each one of the activities (i) and (ii) given under "Administrative Activities" in Section IV. Compute the total points obtained.

Step 2: Convert the total points obtained into grade as given in Table 2 and set the Index  $I_{4.0}$  equal to the grade point

#### VI. IMPLEMENTATION OF THE SYSTEM

The Performance Appraisal Scoring System may be processed in the month of August every year by which time all the required information including Academic Audit Reports will be available. Every member of faculty will have to fill up the PASS Report and submit to the Head of the Department on or before the last day announced. While filling up the Report, the faculty member shall give all the details pertaining to the activities and achievements and enclose copies of document in support of the claim. The faculty members shall also compute and present the Faculty Performance Index (FPI) which quantifies the overall performance of the member during the period.

The Head of the Department shall verify all the statements made by the faculty member, by checking the enclosed documents and the FPI computed by the member. The PASS Report shall be forwarded by the HOD to the Dean, Academic Courses, by the end of second week of August.

The Faculty Performance Assessment Committee headed by the Vice Chancellor / Registrar shall review the PASS Reports received and finalise the FPI's of the various members of faculty.

#### VII. CONCLUSION

The proposed Performance Appraisal Scoring system may be reviewed after the first year of implementation for possible improvement.

Encl: A copy of "Performance Appraisal Scoring System (PASS) Report".



# PERFORMANCE APPRAISAL SCORING SYSTEM (PASS) REPORT FOR MEMBERS OF FACULTY

#### **ACADEMIC YEAR**

|      | PART A : SELF APPRAISAL |
|------|-------------------------|
| Name | :                       |

Designation :

Scale of pay / present pay :

Date of appointment to the present post:

#### Note:

- 1. Before filling up read the "Guidelines on Performance Appraisal Scoring System (PASS) for the members of faculty" in general and Section V "Computation of Faculty Performance Index" in particular.
- 2. Provide all relevant information to support your claim for your achievements and contributions. Enclose also copies of documents in support of the claim for points.

# ACTIVITIES AND CONTRIBUTIONS MADE:

# 1.0 ACADEMIC ACTIVITIES (I 1.0)

# 1.1 Teaching ( $I_{1.1}$ )

1.1.1 Semester Results of Students in Theory Course (I  $_{1.1.1}$ )

| SI. U.G./ |         | <br>Theory | Courses Taught | Result      | Grade |
|-----------|---------|------------|----------------|-------------|-------|
| No. I     | P.GCode | Title      | % pass<br>(PP) | Point       |       |
| 1.        |         |            |                |             |       |
| 2.        |         |            |                |             |       |
| 3.        |         |            |                |             |       |
| 4.        |         |            |                |             |       |
|           |         |            | Average (      | Grade Point |       |

# 1.1.2 Student Feed back - Theory Courses (I 1.1.2)

|            |           | Co           | Tavabb        |               |           | da Dairet |       |  |
|------------|-----------|--------------|---------------|---------------|-----------|-----------|-------|--|
| SI.<br>No. | UG/<br>PG |              | urses Taught  |               |           |           |       |  |
|            |           | Code         | Title<br>     |               |           |           |       |  |
| 1.         |           |              |               |               |           |           |       |  |
| 2.         |           |              |               |               |           |           |       |  |
| 3.         |           |              |               |               |           |           |       |  |
| 4.         |           |              |               |               |           |           |       |  |
|            |           |              |               |               | erage Gra |           |       |  |
| 1.1.       |           | ve Utilizati | on of allotte |               |           |           |       |  |
| SI.<br>No. | UG/       | ,            |               | Total periods |           |           | Grade |  |
|            |           |              | Title         | Allotted      | Taught    | (PTPT)    | Point |  |
| 1.         |           |              |               |               |           |           |       |  |
| 2.         |           |              |               |               |           |           |       |  |
| 3.         |           |              |               |               |           |           |       |  |
| 4.         |           |              |               |               |           |           |       |  |
|            |           |              |               | Av            | erage Gra | ade Point |       |  |

 $I_{1.1.2} =$ 

### 1.1.4 Performance in Academic Audit (I 1.1.4)

SI. UG/ Theory Courses Taught Grade Point No. PG \_\_\_\_\_ Awarded Code Title 1 2. Average Grade Point  $I_{1.1} = (0.4) * I_{1.1.1} + (0.2) * I_{1.1.2} + (0.1) * I_{1.1.3} + (0.3) * I_{1.1.4}$ 1.2 Related Development Activities (I<sub>1.2</sub>) S.No. Details of the Activity / Contribution Assigned Points

\*

Total points

\* If you have more activities, use separate sheet and annex the same. Refer "Guidelines on PASS for Members of Faculty" and Convert Total points into Grade and Grade points and set  $I_{1.2}$ 

$$I_{1.2} = I_{1.0} = (0.7 * I_{1.1}) + (0.3 * I_{1.2})$$

| 2.0 | RESEARCH | $(\mathbf{I}_{2.0})$ |
|-----|----------|----------------------|
|-----|----------|----------------------|

| S.No.                | Details of the Activity / | Contribution | Assigned Points |
|----------------------|---------------------------|--------------|-----------------|
| 1.<br>2.<br>3.<br>4. |                           |              |                 |
| 5.                   |                           |              |                 |
| *                    |                           |              |                 |
|                      |                           | Total points |                 |
|                      |                           |              |                 |

\* If you have more activities, use separate sheet and annex the same. Refer "Guidelines on PASS for Members of Faculty" and Convert Total points into Grade and Grade points and set  $I_{2.0}$ 

 $I_{2.0} =$ 

# 3.0 EXTENSION $(I_{3.0})$

S.No. Details of the Activity / Contribution Assigned Points

1.
2.
3.
4.
5.
\*
Total points

\* If you have more activities, use separate sheet and annex the same. Refer "Guidelines on PASS for Members of Faculty" and Convert Total points into Grade and Grade points and set  $I_{3.0}$ 

 $I_{3.0} =$ 

| 4.0  | ADMINISTRATION (I <sub>4.0</sub> )                                                                                                                         |                                        |
|------|------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------|
| S.No | . Details of the Activity / Contribution                                                                                                                   | Assigned Points                        |
| 1.   |                                                                                                                                                            |                                        |
| 2.   |                                                                                                                                                            |                                        |
| 3.   |                                                                                                                                                            |                                        |
|      |                                                                                                                                                            |                                        |
|      | Total points                                                                                                                                               |                                        |
| Re   | you have more activities, use separate sheet and<br>efer "Guidelines on PASS for Members of Faculty"<br>to Grade and Grade points and set I <sub>4.0</sub> |                                        |
|      | I <sub>4.0</sub> =                                                                                                                                         |                                        |
| 5.0. | COMPUTATION OF FACULTY PERFORMANCE                                                                                                                         | E INDEX : (FPI)                        |
|      | $FPI = (W_{1.0}*I_{1.0})+(W_{2.0}*I_{2.0}) + (W_{3.0}*I_{3.0}) +$ =                                                                                        | (W <sub>4.0</sub> * I <sub>4.0</sub> ) |
|      | Note: Refer "Guidelines" and choose the weig designation                                                                                                   | hts corresponding to your              |
| 6.0. | ADDITIONAL INFORMATION NOT COVERED                                                                                                                         | ABOVE, IF ANY:                         |
|      |                                                                                                                                                            |                                        |
|      |                                                                                                                                                            |                                        |

Date:

Signature of the Faculty Member

# **PART B - REMARKS OF REPORTING OFFICER \***

| 7.0. | VERIFICATION OF INFORMATION:                                                                                                                                               |
|------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
|      | Verified the information provided in Part – A  Including the copies of the documents and found them correct to the best of my knowledge. The FPI computed also is correct. |
|      | <b>Note:</b> If you have ticked the box "NO", enclose another form with recomputed FPI.                                                                                    |
| 8.0. | OTHER REMARKS, IF ANY:                                                                                                                                                     |
| Date | : Signature of the Reporting Officer                                                                                                                                       |
|      | Name : Designation:                                                                                                                                                        |

<sup>\*</sup> HOD or other Officer nominated by the Registrar

# PERFORMANCE EVALUATION FORM (for Non-Teaching Staff)

Note: The reporting authority should indicate the performance in a five point scale viz (a) Poor (b) Satisfactory (c) Good (d) Very good (e) Excellent with a brief justification in phrases for awarding the scale.

| 1.         | Name of the Staff                                                                                     | :   |
|------------|-------------------------------------------------------------------------------------------------------|-----|
| 2.         | Designation                                                                                           | :   |
| 3.         | Department of the Staff                                                                               | :   |
| <b>1</b> . | Period of reporting                                                                                   | :   |
| 5.         | Whether the staff member is regular in attendance                                                     | :   |
| <b>3</b> . | Does the staff sincere to his / her work                                                              | :   |
| 7.         | Is he / she courteous and helpful to the students                                                     | :   |
| 3.         | Knowledge of staff member in his/her job                                                              | :   |
| 9.         | Does the staff start new innovation in his / her work?                                                | :   |
| 10.        | Does the staff member take(s) initiative in the job assigned                                          | :   |
| 11.        | Aptitude of the staff member in upgrading his/her knowledge in his/her domain area                    | je: |
| 12.        | Whether the staff member has taken initiative to upgrade his/her qualification                        | :   |
| 13.        | Does the staff attend any programme during the period to enhance his / her technical competence?      | :   |
| 14.        | If yes, how the performance of the staff member improved member improved after the training undergone | :   |
| 15.        | Performance level of staff member in the job assigned                                                 | :   |
| 16.        | How is the staff member's inter-personal relationship with his / her                                  |     |
|            | i) superiors :<br>ii) colleagues :<br>iii) subordinates :                                             |     |
| 17.        | Any other qualitative narrative report (Any contribution worthy of mentioning)                        | :   |

**Reporting Authority** 

**Countersigning Authority** 



# SELF APPRAISAL FOR ACADEMIC PERFORMANCE INDEX (API)

#### The individual Performance Based Appraisal System (PABS)

#### PART A: - General Information & Academic background

- 1. Increment due on:
- 2. Current Designation:-
- 3. Current Pay band & Grade pay:-
- 4. Date of last promotion:- NA
- 5. Name(in block letters):-
- 6. Father's/Mother's Name:-
- 7. Spouse Name:-
- 8. Department:-
- 9. Name of the School:-
- 10. Position to which to be promoted:-
- 11. Pay band expected & Grade pay:-
- 12. Date of eligibility for promotion:-
- 13. Date & place of birth:-
- 14. Sex:-
- 15. Marital Status:-
- 16. Category to which belongs:-
- 17. Address for the correspondence:-
- 17. Permanent Address:-
- 18. Contact Numbers:-
- 19. E mail id:-

| 20. Academic Qualifications (Matric till post-grad | luation | ): |
|----------------------------------------------------|---------|----|
|----------------------------------------------------|---------|----|

| Examinations | Name of the<br>Board/University | Year of<br>Passing | Percentag<br>e of marks<br>obtained | Division/<br>Class/Grad<br>e | Subject |
|--------------|---------------------------------|--------------------|-------------------------------------|------------------------------|---------|
|              |                                 |                    |                                     |                              |         |
|              |                                 |                    |                                     |                              |         |
|              |                                 |                    |                                     |                              |         |
|              |                                 |                    |                                     |                              |         |
|              |                                 |                    |                                     |                              |         |

# 21 Research Degree(s):

| Degrees | Title | Date of Award | University |
|---------|-------|---------------|------------|
|         |       |               |            |

# 22. Appointments held prior to joining this institution:

| Designatio | Name of Employer | Date of Joining |         | Salary<br>with | Reason for |
|------------|------------------|-----------------|---------|----------------|------------|
| n          |                  | Joining         | Leaving | Grade          | leaving    |
|            |                  |                 |         |                |            |
|            |                  |                 |         |                |            |

# 23. Posts held after appointment at this institution:

| Designation | Department | Date of act | Grade |  |
|-------------|------------|-------------|-------|--|
|             | -          | From        | То    |  |

24. Total teaching experience (in years):-

At Undergraduate level (at previous institution): -

Undergraduate level (at present institution): - -

Total: -

At Postgraduate level (at previous institution):-

At Postgraduate level (at present institution):-

Total: -

- 25. Research experience excluding years spent for M.Phil/Ph.D.:- Nil
- 26. Field of specialization under the subject/discipline if any:- N.A
- 27. Academic Staff College Orientation/Refresher courses attended:- Nil

| Nil         |                           |             |          |                |
|-------------|---------------------------|-------------|----------|----------------|
| Course      | Academic<br>Staff College | University  |          | agency, if any |
| Name of the | Name of the               | Name of the | Duration | Sponsoring     |

#### **PART B: - Academic Performance Indicators**

Category I Teaching, Learning & Evaluation related Strategies

(Maximum Total Score 125, Minimum API required 75)

1. Lectures, Practical, Contact hours (Semester wise/Annual detail):-(Maximum Score 50)

| S.No |  | Credi | Mode of   | Hours per | % of classes taken as |
|------|--|-------|-----------|-----------|-----------------------|
|      |  | t     | Teaching* | week      | per documented        |
|      |  |       |           | allotted  | record                |
|      |  |       |           |           |                       |

|   | Semeste<br>r | Name of<br>the Course |  |  |
|---|--------------|-----------------------|--|--|
| 1 |              |                       |  |  |
| 2 |              |                       |  |  |
| 3 |              |                       |  |  |
| 4 |              |                       |  |  |
| 5 |              |                       |  |  |

\*L= Lecture ALM= Active learning methodology

P= Practical GD= Group discussions

T=Tutorial FD= Field visits S= Seminar AO= Any other

AS= Assignments PB=Project based learning

- 2. Lectures or other teaching duties in excess of the UGC norms (Maximum Score 10) -Nil
- 3. Reading/Instructional material prepared ,use of additional knowledge resources for subject enrichment (Maximum Score 20)

| S.No. | Course/Paper        | Consulted | Prescribed | Additional Resource provided |
|-------|---------------------|-----------|------------|------------------------------|
| 1     |                     |           |            |                              |
| 2     |                     |           |            |                              |
|       | re based on Prepa   | API Score |            |                              |
|       | ng additional resou | 20        |            |                              |

4. Use of participatory, project based teaching and innovative teaching learning methodologies(use of ICT, Sandhan, E Library, Remedial courses & Skill modules)

# (Maximum Score 20)

| S.No. | Short Description                                                     | API Score |
|-------|-----------------------------------------------------------------------|-----------|
| 1     | Use of ICT in T/L process with computer-aided methods for all classes | 5         |
|       | Total Score (Max. Score: 20)                                          | 5         |

5. Examination duties (Invigilation, Paper setting, evaluation of students at theory/practical examination) (Maximum Score 25)

| S.No. | Type of Examination Duties          | Duties Assigned | Extent to which carried out (%) | API Score |
|-------|-------------------------------------|-----------------|---------------------------------|-----------|
| 1     | University End Semester             |                 |                                 |           |
| 2     | Evaluation of answer scripts        |                 |                                 |           |
| 3     | Question paper setting              |                 |                                 |           |
| 4     | Internal/continuous assessment work |                 |                                 |           |
|       |                                     | 25              |                                 |           |

| Sr.Number | Maximum API Score | API Score obtained |
|-----------|-------------------|--------------------|
| 1         |                   |                    |
| 2         |                   |                    |
| 3         |                   |                    |
| 4         |                   |                    |
| 5         |                   |                    |
| Total     |                   |                    |

# <u>Category II Co-Curricular, Extension and Professional Development Activities</u> (Maximum Score 50, Minimum API required 15)

| S.No | Name of the activity done                                                                                                                                                                                          | Maximum Score |
|------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|
| 1    | (NSS/NCC/Yoga,Cultural(Saptdhara, Youth festival),Counseling etc                                                                                                                                                   | 20            |
| 2    | Member of Academic/Administrative committees, Member of NGOs, Chamber of Commerce, On Deputation to Various Educational Institutes, On Deputation to Education Department                                          | 15            |
| 3    | Professional development activities such as participation/organization of Seminars/Workshops, Job fairs, Talks, Field visits, Study tours. Zonal/State/District level Coordination of Higher Education initiatives | 15            |

| (NSS/ | NCC/Yo | ga, Cultural (Saptdhara | , Youth festival),Coun | seling etc.( | Co-Curricular) |
|-------|--------|-------------------------|------------------------|--------------|----------------|
|       | 1      | Admission Counselin     | g Duty                 | 5            |                |
|       |        |                         |                        |              |                |

# 2.Member of Academic/Administrative committees, Member of NGOs, Chamber of Commerce, On Deputation to Various Educational Institutes, On Deputation to Education Department

| S.No. | Type of Activity | Period          | API Score |
|-------|------------------|-----------------|-----------|
|       |                  |                 |           |
|       |                  |                 |           |
|       |                  |                 |           |
|       |                  |                 |           |
|       |                  |                 |           |
|       |                  |                 |           |
|       |                  |                 |           |
|       |                  | Total (Max: 15) | 15        |

| 3 | (iii) Professional Development Activities |    |  |
|---|-------------------------------------------|----|--|
|   |                                           | 0  |  |
|   | Total Scor                                | 20 |  |

#### 4. Reviewer of Research Article for International/National Journals

| S.No | Reviewer of Research Article for International/National Journals |                                     |           |                                                |           |  |
|------|------------------------------------------------------------------|-------------------------------------|-----------|------------------------------------------------|-----------|--|
| 1    | Name of<br>the Journal                                           | Title of<br>the article<br>reviewed | Publisher | Journal<br>Scopus/web<br>of science<br>indexes | API Score |  |
| NIL  |                                                                  |                                     |           |                                                |           |  |

- 1. Member of Academic bodies of other institutions such as staff selection ,Bos,AC,DC members, PhD/M.Tech/M.Sc viva examination:-
- 2. Collaborations established in the industries/universities/organizations:-
- 3. MOUs established:-
- 4. Development activities:-
- 5. Foreign visits in connection with research, international conferences & collaborations:-

| Sr. Number | Maximum API Score | API Score obtained |
|------------|-------------------|--------------------|
| 1          | 20                | 5                  |
| 2          | 15                | 15                 |
| 3          | 15                | 0                  |
| 4          | 20                | 0                  |
| Total      | 70                | 20                 |

#### Category III Research and Academic Contribution

1. Papers Published in Journals (International Journals, National Journals, Regional Journals)

| S. No. | Title | Journal | Scopus  | Impact | No. of  | Whether  | API      |
|--------|-------|---------|---------|--------|---------|----------|----------|
|        | with  |         | or Web  | factor | Co-     | you are  | Score    |
|        | page  |         | of      |        | authors | the main | (Max.15) |
|        | no.   |         | Science |        |         | author   |          |
|        |       |         | indexed |        |         |          |          |
|        |       |         |         |        |         |          |          |
| _      |       |         |         |        |         |          | -        |
|        |       |         |         |        |         |          |          |

2. A) Articles/Chapters published in Books (International publications, National publications, Regional publications, State publications)

| S.N | Title with | Book Title. Editor and | ISBN | Whether | No of  | Whethe  | API  |
|-----|------------|------------------------|------|---------|--------|---------|------|
| О   | page No    | publisher              | No   | peer    | Co-    | r you   | Scor |
|     |            |                        |      | reviewe | author | are the | e    |
|     |            |                        |      | d       | S      | main    |      |
|     |            |                        |      |         |        | author  |      |
|     |            |                        |      |         |        |         |      |
| NIL |            |                        |      |         |        |         |      |
|     |            |                        |      |         |        |         |      |

<sup>\*(</sup>API Score for International 10, National 5, Regional 3 and State 3)

B) Full Papers in Conference Proceedings (International, National, State level Conference)

|   | Sr.No. | Title with | Details of  | ISSN/ | No. of  | Whether | API    |
|---|--------|------------|-------------|-------|---------|---------|--------|
|   |        | page no.   | Conference  | ISBN  | Co-     | you are | Score  |
|   |        |            | publication | No.   | authors | main    | Max.10 |
|   |        |            |             |       |         | author  |        |
|   |        |            |             |       |         |         |        |
|   |        |            |             |       |         |         |        |
| 1 |        |            |             |       | 2       | 1       | 10     |

C) Books published as single author or as editor (International publications, National publications, Regional publications)

| Sr. | Title         | Type                 | Publisher      | Whether          | No.                      | Whether                   | API**               |
|-----|---------------|----------------------|----------------|------------------|--------------------------|---------------------------|---------------------|
| No. | with page no. | of book & authorship | &<br>ISSN/ISBN | peer<br>reviewed | of<br>Co-<br>auth<br>ors | you are<br>main<br>author | Score<br>Max.<br>90 |
| Nil |               |                      |                |                  |                          |                           |                     |

<sup>\*\*(</sup>API Score for international author 50, editor 10; National Author 25, Editor 5 and Regional Author 15, Editor 3)

### D) Patents Details(International /National)

| S.No | Title of the Patent | Patent filed No. | Patent Granted No. | International/National |
|------|---------------------|------------------|--------------------|------------------------|
|------|---------------------|------------------|--------------------|------------------------|

|  | Nil | <br> |  |
|--|-----|------|--|
|  |     |      |  |
|  |     |      |  |

3. Ongoing & Completed Research Projects and Consultancies (Including State Research Projects)

| Category  | Title | Agency | Period | Grant     | API         |
|-----------|-------|--------|--------|-----------|-------------|
|           |       |        |        | Mobilized | Score       |
|           |       |        |        |           | Max.        |
|           |       |        |        | (in Rs)   | 10          |
|           |       |        |        |           | per project |
| Ongoing   | Nil   |        |        |           |             |
| Completed |       |        |        |           |             |
|           | Nil   |        |        |           |             |

# 4. Research Guidance

| Sr.No.      | Number   | Thesis    | Dagmas/Contificates        | AP<br>I          |
|-------------|----------|-----------|----------------------------|------------------|
| SI.NO.      | Number   | Thesis    | Degree/Certificates Awarde |                  |
|             | Enrolled | Submitted | d                          | Score            |
|             |          |           |                            | eac              |
| To College  |          |           |                            | 2 for h          |
| students    | N.A      |           |                            | student          |
| M.Tech/M.Sc |          |           |                            | eac              |
| /M.Phil or  |          |           |                            | 3 for h          |
| equivalent  | N.A      |           |                            | candidate        |
| Ph.D. or    |          |           |                            | 10 for each      |
| equivalent  |          |           |                            | candidate, 7 for |
|             | N.A      |           |                            | thesis           |
|             |          |           |                            | submitted        |

5. Faculty Development Programmes (Not less than one week duration)

Sr.No. Programme Duration Organized by API Score

Nil Nil -----

6. Papers presented in Conferences, Seminars, Workshops, Symposia

| S.N | Title of the | Title of the | Organized by | International/                             |                  |
|-----|--------------|--------------|--------------|--------------------------------------------|------------------|
| O   | paper        | Conference   |              | National/State<br>/<br>University<br>Level | API<br>Scor<br>e |
| NIL |              |              |              |                                            |                  |

<sup>\*\*\*(</sup>API Score for International 10, National 7.5, Regional 5 and State 3

7. Invited Lectures & Chairmanships at National/International/State level Conference/Seminar/Workshops/Training programmes

| S.No. | Title of | Title of the | Organized | National/      | API    |
|-------|----------|--------------|-----------|----------------|--------|
|       | Talk     | Conference/  | by        | International/ | Score  |
|       | 2 0      | Seminar,etc  |           | Regional       | Max 10 |
| NIL   |          |              |           |                |        |

# PART C: -Other Relevant Information such as membership in professional bodies/Awards, Honors, Distinction & Recognition received

| Sr.No. | Details( Year, Type, Value etc) |
|--------|---------------------------------|
|        |                                 |
|        |                                 |
|        |                                 |

#### **SUMMARY OF API SCORE**

| Category | Criteria             | Last     | Total API  | Annual        |
|----------|----------------------|----------|------------|---------------|
|          |                      | Academic | Score for  | average API   |
|          |                      | year     | the        | Score for the |
|          |                      |          | Assessment | Assessment    |
|          |                      |          | Period     | Period        |
| I        | Teaching, Learning & |          |            |               |

|        | Evaluation                |
|--------|---------------------------|
| II     | Co-Curricular, Extension. |
|        | Professional development  |
| Total  |                           |
| (I+II) |                           |
| III    | Research & Academic       |
|        | Contribution              |

List of Enclosures: - 1.Conference Paper

| Signature of the l | Faculty | with | designation |
|--------------------|---------|------|-------------|
| HOD/               |         |      |             |

Signature of the

Dean of the Department/School

# PERFORMANCE EVALUATION FORM (for Non-Teaching Staff)

Note: The reporting authority should indicate the performance in a five point scale viz (a) Poor (b) Satisfactory (c) Good (d) Very good (e) Excellent with a brief justification in phrases for awarding the scale.

| 1.         | Name of the Staff                                                                                     | :   |
|------------|-------------------------------------------------------------------------------------------------------|-----|
| 2.         | Designation                                                                                           | :   |
| 3.         | Department of the Staff                                                                               | :   |
| <b>1</b> . | Period of reporting                                                                                   | :   |
| 5.         | Whether the staff member is regular in attendance                                                     | :   |
| <b>3</b> . | Does the staff sincere to his / her work                                                              | :   |
| 7.         | Is he / she courteous and helpful to the students                                                     | :   |
| 3.         | Knowledge of staff member in his/her job                                                              | :   |
| 9.         | Does the staff start new innovation in his / her work?                                                | :   |
| 10.        | Does the staff member take(s) initiative in the job assigned                                          | :   |
| 11.        | Aptitude of the staff member in upgrading his/her knowledg in his/her domain area                     | je: |
| 12.        | Whether the staff member has taken initiative to upgrade his/her qualification                        | :   |
| 13.        | Does the staff attend any programme during the period to enhance his / her technical competence?      | :   |
| 14.        | If yes, how the performance of the staff member improved member improved after the training undergone | :   |
| 15.        | Performance level of staff member in the job assigned                                                 | :   |
| 16.        | How is the staff member's inter-personal relationship with his / her                                  |     |
|            | i) superiors : : : : : : : : : : : : : : : : : : :                                                    |     |
| 17.        | Any other qualitative narrative report (Any contribution worthy of mentioning)                        | :   |

**Reporting Authority** 

**Countersigning Authority**